

S&H Form: (2/01) DOCKET NO. 614.1639D2DD1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2186

In re Patent Application of:

Shinpei KOMATSU, et al.

Serial No: 10/785,010

Confirmation No. 9725

Filed: February 25, 2004 Examiner: David L. Robertson

For: METHOD OF WRITING, ERASING, AND CONTROLLING MEMORY FOR MEMORY

DEVICE

REQUEST FOR CHANGE OF INVENTORSHIP AND CORRECTED FILING RECEIPT

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

It is requested that the name and address of the third Inventor be deleted on the Official Filing Receipt. The name and address of the third Inventor are Tomohiro Hayashi, Yokohamashi, Japan, as is evidenced by the Continuing Utility Patent Application Transmittal (Box 5), attached to the application as filed. We note that the third Inventor's name was misspelled in Box 5 of the Continuing Utility Patent Application Transmittal. For the convenience of the Patent and Trademark Office, attached is a photocopy of the original receipt on which the errors have been noted in red.

It is requested that a corrected Official Filing Receipt be issued in this application.

Respectfully submitted,

STAAS & HALSEY LLP

Christine Joan Gilsdorf Registration No. 43,635

1201 New York Ave, N.W., Suite 700

Washington, D.C. 20005 Telephone: (202) 434-1500 Facsimile: (202) 434-1501

©2001 Staas & Haisey LLP

Date: 6/9/04

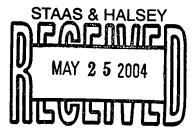


UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEFARINGENT OF COMME United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandris, Vignis 22313-1450 www.usplo.gov

FILING OR 371 ART UNIT FIL FEE REC'D APPL NO. ATTY.DOCKET NO DRAWINGS TOT CLMS IND CLMS (c) DATE 02/25/2004 770 10/785,010 2186 614.1639D2DDD1 56

CONFIRMATION NO. 9725

21171 STAAS & HALSEY LLP SUITE 700 1201 NEW YORK AVENUE, N.W. WASHINGTON, DC 20005



FILING RECEIPT *OC000000012698737*

Date Mailed: 05/19/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Shinpei Komatsu, Yokohama-shi, JAPAN; Yumi Ishii, Yokohama-shi, JAPAN; Please remove. Tomohiro Hayashi, Yokohama-shi, JAPAN;

Assignment For Published Patent Application

Fujitsu Limited of Kawasaki, Japan;

Domestic Priority data as claimed by applicant

This application is a DIV of 10/446,810 05/29/2003 which is a DIV of 09/688,858 10/17/2000 PAT 6,584,579 which is a DIV of 09/385,998 08/30/1999 PAT 6,161,163 which is a DIV of 08/912,692 08/18/1997 PAT 5,983,312 which is a DIV of 08/292,213 08/19/1994 PAT 5,802,551

Foreign Applications

JAPAN 5-246547(PAT. APP 10/01/1993

If Required, Foreign Filing License Granted: 05/18/2004

Projected Publication Date: 08/26/2004

Non-Publication Request: No

Early Publication Request: No



Title

Method of writing, erasing, and controlling memory for memory device

Preliminary Class

711

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



S&H Form: PTO/SB/05 (2/02)

CONTINUING (1.53(b)) UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No.
614.1639D2DDD1

First Named Inventor:
Shinpei KOMATSU, et al.

Title:

METHOD OF WRITING, ERASING, AND
CONTROLLING MEMORY FOR MEMORY DEVICE

Express Mail Label No.

| PATENT APPLICATION | | | | CONTROLLING MEMORY FOR MEMORY DEVICE | | | | | |
|--------------------------------|--|---|--|---|--|--|--|--|--|
| TRANSMITTAL | | | | | | | | | |
| | | | Express Mail Label No. | | | | | | |
| (On | ly for continuing applications under 37 CF | | TO: | | | | | | |
| APPLICATION ADDRESS | | | sioner for Patents | | | | | | |
| | ELEMENTS | | | | | | | | |
| | | | ent Application | | | | | | |
| notant application contents | | | ria, VA 22313-1450 | | | | | | |
| 1. 🗵 | Fee Transmittal Form | | <u> </u> | | | | | | |
| 2. 🗵 | Specification, Claims & Abs | Specification, Claims & Abstract [Total Pages: 43] | | | | | | | |
| 3. 🛛 | Drawing(s) (35 USC 113) | Drawing(s) (35 USC 113) [Total Sheets: <u>56</u>] [FIGS. 1-9, 10A-J, 11, 12A-L, 13, 14A-R, 15, 16A-F, 17-18, | | | | | | | |
| | 19A-B, 20A-B, 21A-B, 22A-B | 19A-B, 20A-B, 21A-B, 22A-B, 23A-B, 24A-C, 25A-C, 26-27, 28A-C, 29A-C, 30, 31A-E] | | | | | | | |
| 4. 🛛 | a. Newly executed (or | | | | | | | | |
| 5. ⊠ T• | a. DELETE the follow | nohi Co Tomoguri Hayashi, Shogo Shibazaki, Hiroyuki Itoh and Masaru Takehara | | | | | | | |
| 6. 🗆 | Verified Statement Claiming | Small Entity | Status | | | | | | |
| 7. 🛭 | Incorporation by Reference (usable if Box 4b is checked) The entire disclosure of the prior application (identified in Item 21), from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. | | | | | | | | |
| 8. 🛛 | Applicant daims foreign price | ority benefit to | o: <u>Japanese Ap</u> | plication 5-246547 filed October 1, 1993 | | | | | |
| 9. [10. [| | Application Data Sheet. See 37 C.F.R. 1.76 CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix) | | | | | | | |
| 11. | Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or II. paper c. Statement verifying identity of above copies | | | | | | | | |
| 12. 🛭 | | Assignment to Fujitsu Limited of Kawasaki, Japan at Reel 7104, Frame 0666 ightharpoonup in the state of the | | | | | | | |
| ACCOMPANYING APPLICATION PARTS | | | | | | | | | |
| 13. □ 14. □ 15. ⊠ | 37 CFR 3.73(b) Statement (w English Translation Documen Foreign priority benefit under 35 of a. ☑ Certified Copy of Property | it <i>(if applicabl</i> U.S.C. §119 i iority Docum | <i>le)</i> is daimed. ent(s) filed in prior ap | Power of Attorney pplication No. 08/292,213. | | | | | |
| 16. 🔯 17. 🔲 | c. Certified Copy of Pr Information Disclosure Staten Preliminary Amendment a. enclosed herewith. b. incorporated herein | nent (IDS)/P1 | ГО-1449 | Copies of IDS Citations | | | | | |
| 18. ⊠ 19. □ 20. □ | Return Receipt Postcard (MP | EP 503) (Sho | ould be specifically it | emized) (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its | | | | | |



| 21. CONTINUING APPLICATION, check appropriate box and supply the requisite information below: | | | | | | | | | |
|--|-------------------------|----------------------------|-----|-------|--|--|--|--|--|
| ☐ Continuation ☑ Divisional ☐ Continuation-in-part (CIP) of prior application No: 10/446,810. | | | | | | | | | |
| Prior application information: Examiner: David L. Robertson Group/Art Unit: 2186 | | | | | | | | | |
| Preliminary Amendment: | | | | | | | | | |
| Cancel in this application original claims of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.) | | | | | | | | | |
| Amend the specification by inserting before the first line the sentence: | | | | | | | | | |
| 22. NEW CORRESPONDENCE ADDRESS CUSTOMER NO. 21,171 | | | | | | | | | |
| 21171 PATENT TRADEMARK OFFICE | | | | | | | | | |
| 23. SIGNATURE OF ATTORNEY OR AGENT | | | | | | | | | |
| NAME | Christine Joan Gilsdorf | REGISTRATION 43,635 NO. | | | | | | | |
| SIGNATURE | C. Joan Hilsdorf | DATE | 2/7 | 25/04 | | | | | |

© 2001 Staas & Halsey LLP

[Page 2 of 2]